

**(SEBI/HO/OIAE/OIAE\_IAD-3/P/ON/2025/01650, dated January 10, 2025)**  
**Nomination Form for Trading, Demat Accounts and Mutual Fund (MF) Folios**

I / We hereby nominate the following person(s) who shall receive all the assets held in my our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) \*

**Nomination Details**

		Nominee 1	Nominee 2	Nominee 3
<b>Mandatory Details</b>	Name of nominee			
<b>Mandatory Details</b>	Share of Nominee (%)			
<b>Mandatory Details</b>	Nominee Relationship			
<b>Mandatory Details</b>	Nominee Postal Address			
<b>Mandatory Details</b>	Nominee Mobile Number			
<b>Mandatory Details</b>	Nominee Email ID			
<b>Mandatory Details</b>	Nominee Identity Type			
<b>Mandatory Details</b>	Nominee Identity Number			
<b>Additional Details #</b>	D.o.B. of nominee (If nominee is minor)			
<b>Additional Details #</b>	Guardian Name			
<b>Additional Details #</b>	Relationship of Guardian with nominee			
<b>Additional Details #</b>	Guardian Address			
<b>Additional Details</b>	Email ID of Guardian			
<b>Additional Details</b>	Guardian Mobile Number			
<b>Additional Details</b>	Guardian Identity Type			
<b>Additional Details</b>	Guardian Identity Number			

#-Mandatory if Nominee is minor

\*Joint Accounts:

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

**Client Signature (1st Holder)**



**Client Signature (2nd Holder)**



**Client Signature (3rd Holder)**



\*\* If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form. (see table in 'Transmission aspects').

\*\*\* Provide only number: PAN or Driving License or Aadhaar (last 4). Copy of the document is not required. However, in case of NRI / OCI / PIO, Passport number is acceptable.

\*\*\*\* to be furnished only in following conditions / circumstances:

- Date of Birth (DoB): please provide, only if the nominee is minor.
- Guardian: It is mandatory for you to provide, if the nominee is minor

1. I / We want the details of my / our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate)

☐ Name of nominee(s) ☐ Nomination: Yes / No

2. This nomination shall supersede any prior nomination made by me / us, if any.

3. Signature(s) – As per the mode of holding in demat account(s) / MF folio(s)

Name(s) of holder(s)	Signature(s) of holder / thumb impression	Signature of two witnesses*	Name of Witness & Address (wherever applicable)*
Sole / First Holder (Mr./Ms.)			
Second Holder (Mr./Ms.)			
Third Holder (Mr./Ms.)			

\* Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

#### Rights, Entitlement and Obligation of the investor and nominee:

- If you are opening a new demat account / MF folios, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- The signatories for this nomination form shall be as per mode of holding in the folio(s) / demat account(s) i.e.
  - o 'Either or Survivor' Folios / Accounts - any one of the holder can sign
  - o 'First holder' Folios / Accounts - only First holder can sign
  - o 'Jointly' Folios / Accounts - all holders have to sign

#### Transmission aspects

- AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- In case of a joint account / folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP
- In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
<b>Total</b>	<b>100%</b>	<b>-</b>	<b>40%</b>	<b>60%</b>	<b>100%</b>

Client Signature (1st Holder)

Client Signature (2nd Holder)

Client Signature (3rd Holder)



## Declaration Form for opting out of nomination

Kotak Infinity, 8th Floor, Bldg. No. 21, Infinity Park, Off Western Express Highway, Goregaon-Mulund Link Road, Malad (E) , Mumbai - 400 097 ☎ 4285 6825		Date		D	D	M	M	Y	Y	Y	Y
		Query ID									
		Trading Code / UCC									
		DP ID	I	N	3	0	0	2	1	4	
Client ID (only for Demat account)											
Name(s) of holder(s)											
Sole / First Holder Name											
Second Holder Name											
Third Holder Name											
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / MF Folio / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/ our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / MF Folio / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / MF Folio / demat account.											
Signature(s) of holder											
Sole / First Holder (Mr./Ms.)											
Second Holder (Mr./Ms.)											
Third Holder (Mr./Ms.)											
* Mandatory details of witness required for Nomination in case the account holder affixes thumb impression, instead of signature.											
Name of the Witness		Address of the Witness				Signature of the Witness					